



PTO/SB/01A (08-03).

Approved for use through 10/31/2002. OMB 0651-0032.

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	DEP-1 RECEPTOR PROTEIN TYROSINE PHOSPHATASE INTERACTING PROTEINS AND RELATED METHODS
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As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/723,606, filed on November 26, 2003,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one:	<u>Helena L. Palka-Hamblin</u>	Citizen of:	<u>U.S.</u>
Signature:	<u><i>Helena L. Palka-Hamblin</i></u>	Date:	<u>3/8/04</u>

Inventor two:	<u>Nicholas K. Tonks</u>	Citizen of:	<u>United Kingdom</u>
Signature:	_____	Date:	_____

Inventor three:	_____	Citizen of:	_____
Signature:	_____	Date:	_____

Inventor four:	_____	Citizen of:	_____
Signature:	_____	Date:	_____

☐ Additional inventors or a legal representative are being named on _____ additional form(s) attached hereto.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: P.O. Box 1450, Alexandria, VA 22313-1450.



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FULL NAME OF INVENTOR(S)Inventor one: Helena L. Palka-Hamblin Citizen of: U.S.

Signature: _____ Date: _____

Inventor two: Nicholas K. Tonks Citizen of: United KingdomSignature:  Date: 12/1/03

Inventor three: _____ Citizen of: _____

Signature: _____ Date: _____

Inventor four: _____ Citizen of: _____

Signature: _____ Date: _____

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ELECTION AND POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number	10/723,606
	Filing Date	November 26, 2003
	First Named Inventor	Helena L. Palka-Hamblin
	Title	DEP-1 RECEPTOR PROTEIN TYROSINE PHOSPHATASE INTERACTING PROTEINS AND RELATED METHODS
	Art Unit	
	Examiner Name	
	Attorney Docket Number	200125.447

I hereby appoint:

☒ Practitioners at Seed IP Law Group PLLC, Customer Number: **00500**

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

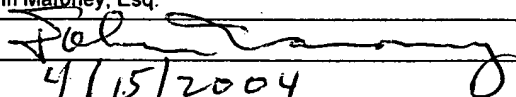
<input type="checkbox"/> Firm or Individual Name					
Address					
Address					
City		State		ZIP	
Country					
Telephone		Fax			

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ As assignee of record of the entire interest I/we hereby elect, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor(s).**SIGNATURE of Applicant or Assignee of Record**

Name	John Maroney, Esq.
Signature	
Date	4/15/2004

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Helena L. Palka-Hamblin and Nicholas K. TonksApplication No./Patent No.: 10/723,606 Filed/Issue Date: November 26, 2003Entitled: DEP-1 RECEPTOR PROTEIN TYROSINE PHOSPHATASE INTERACTING
PROTEINS AND RELATED METHODSCold Spring Harbor Laboratory, Inc.

(Name of Assignee)

a

corporation(Type of Assignee, e.g., corporation, partnership,
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the
current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title noted in B above are
attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be
submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records
of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

4/15/2004
Date

516-367-8458
Telephone Number

John Maroney, Esq.

Typed or printed name

[Signature]
Signature

Director, Office of Technology Transfer

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

COPY

ASSIGNMENT

2545 N Drake Ave # 2 WHEREAS, we, **Helena L. Palka-Hamblin**, having the mailing address of ~~62-82~~
Chicago, IL ~~Saunders St., Apt. 5D, Rego Park, New York 11374~~ and **Nicholas K. Tonks**, having the mailing
60647 address of 3 Arrowhead Place, Huntington, New York 11743 (hereinafter referred to as
ALPH 3/8/04 ASSIGNORS), are the joint inventors of an invention entitled "DEP-1 RECEPTOR PROTEIN
TYROSINE PHOSPHATASE INTERACTING PROTEINS AND RELATED METHODS," as
described and claimed in the specification for which an application for United States letters
patent was filed on November 26, 2003 and assigned Application No. 10/723,606; which
application claims the benefit of U.S. Provisional Application No. 60/429,746 filed on
November 26, 2002;

WHEREAS, **Cold Spring Harbor Laboratory** (hereinafter referred to as
ASSIGNEE), a non-profit corporation, incorporated as an educational institution in the State of
New York, having a place of business at 1 Bungtown Road, Cold Spring Harbor, New York
11724, is desirous of acquiring the entire right, title and interest in and to the invention and in
and to any letters patent that may be granted therefor in the United States and in any and all
foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the
receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said
ASSIGNEE the entire right, title and interest in and to said invention, said application and any
and all letters patent which may be granted for said invention in the United States of America
and its territorial possessions and in any and all foreign countries, and in any and all divisions,
reissues and continuations thereof, including the right to file foreign applications directly in the
name of ASSIGNEE and to claim priority rights deriving from said United States application to
which said foreign applications are entitled by virtue of international convention, treaty or
otherwise, said invention, application and all letters patent on said invention to be held and
enjoyed by ASSIGNEE and its successors and assigns as fully and entirely as the same would
have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been
made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks
to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all
instruments and documents required for the making and prosecution of applications for United

States and foreign letters patent on said invention, for litigation regarding letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

3/8/04
Date
Helena L. Palka-Hamblin
Helena L. Palka-Hamblin

State of ~~New York~~ Illinois)
County of Cook) ss.

I certify that I know or have satisfactory evidence that Helena L. Palka-Hamblin is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

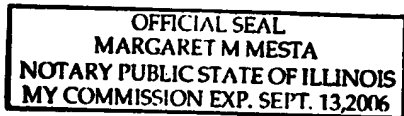
Dated 3/8/04

Signature of

Notary Public Margaret M Mesta

Printed Name Margaret M Mesta

My appointment expires September 13, 2006



1 April 04
Date

Nicholas K. Tonks
Nicholas K. Tonks

State of New York)
County of Nassau)

ss.

I certify that I know or have satisfactory evidence that Nicholas K. Tonks is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 1, 2004

Signature of

Notary Public

Printed Name

My appointment expires

Wendy S. Crowley
Wendy S. Crowley

WENDY S. CROWLEY
Notary Public, State of New York
No. 01CR4788991
Qualified in Nassau County
Commission Expires August 31, 2005

August 31, 2005

(MJR:imp)

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